



YANKTON SIOUX TRIBE

Gaming Commission

P.O. Box 77 • Pickstown, SD 57367
Phone: (605) 487-6022 • Fax: (605) 487-7116

CLASS II GAMING APPLICATION NON GAMING AREAS

HOTEL _____ LOUNGE _____ GIFT SHOP _____
RESTAURANT _____ PLAYERS CLUB _____ MAINTENANCE _____

Name: _____

Address: _____

City: _____ State: _____ Zip Code: _____

Home Phone: _____ Message Phone: _____

Alias (Other names known by): _____ Sex: M F

Scars, tattoos, distinguishing marks: _____

Social Security Number: _____ U.S. Citizen Yes No

If no, list details: _____

Height _____ Weight _____ Eye Color _____ Hair Color _____

Place of Birth: _____ Date of Birth: _____

Driver's License #: _____ State D.L. Issued _____

Member of federally recognized tribe Yes No Enrollment #: _____

If yes, which Tribe: _____

All languages spoken or written: _____

Do you consent to an investigation of your background, police records, including credit information and to waive any rights or causes of action that you may have against the Yankton Sioux Tribe Gaming Commission and any individual or agency disclosing or releasing said information to the Yankton Sioux Tribe Gaming Commission.

Yes

No

*****NOTE: Please explain all "YES" answers on page 3*****

1. Have you ever been questioned, detained, indicted, arrested or summoned to answer for any criminal offense or violation for any reason whatsoever, regardless of disposition of the event? (DO NOT INCLUDE MINOR TRAFFIC VIOLATIONS: i.e.: SPEEDING) YES NO
2. Have you ever been or are you now on probation/parole to any Federal, Tribal or State court? YES NO
3. Have you ever been granted a pardon for any criminal act(s)? If so list all cases on page 3. YES NO
4. Has a criminal indictment, information or complaint ever been returned against you but for which you were not arrested or in which you were named as an unindicted co-party. YES NO
5. Have you ever been subpoenaed to appear to testify before a tribal, federal, state, county grand jury court or commission? YES NO
6. Have you ever had a civil or criminal record expunged by a court order? YES NO
7. Have you ever applied for or received a Gaming License in any jurisdiction? YES NO
8. Have you had any disciplinary action taken against any gaming license held by you? YES NO

Describe any previous business relationships with any Indian Tribe or the Gaming industry, including ownership interests in those businesses.

Please explain any "YES" answers from page2. List by question #.

Lined area for providing answers to questions.

I, _____, being duly sworn depose and state that all statements, attachments, enclosures, supporting documentation, and information provided herein to the Yankton Sioux Tribe Gaming Commission through the application process are true and correct. Information submitted upon my behalf is believed to be true and correct, and is otherwise qualified by me in my application. I understand that a false or misleading answer to any question posed in the application process can subject the undersigned and the applicant to a denial, suspension, revocation or non-issuance of a license. Further, I understand that all information provided herein is provided with the knowledge that misrepresentation thereof, or misrepresentation by failing to reveal relevant information requested by the Yankton Sioux Tribe Gaming Commission may be deemed sufficient cause for the refusal to issue a license. I am aware that the later discovery by the applicant of an omission or misrepresentation made by facts provided herein may be grounds for the revocation of a license.

Further, I am voluntarily submitting this application under oath and acknowledge my obligation to furnish all processes and pleadings to which I am a party.

Signature of applicant

Subscribed and sworn before me on this _____ day of _____, 20____

Witness my hand and official seal.

{AFFIX SEAL HERE}

Notary Public

My commission expires on:_____

List all addresses where you have lived in the past five years. Use an additional sheet if necessary.

Address	City & State	From (month & year)	To (mo/yr)
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Beginning with your most recent employment, please list your employment history for the past five years.

Employer & Address	Position held	Telephone #	From/To	Reason for leaving

List 2 credit references

- 1.) _____

- 2.) _____

INVESTIGATION AUTHORIZATION TO RELEASE INFORMATION

I, _____, hereby authorize the Yankton Sioux Tribe Gaming Commission to conduct an investigation into my personal background using whatever legal means it deems it appropriate. Persons requested to provide information which the Yankton Sioux Tribe Gaming Commission deems necessary, are hereby authorized to provide such information. I understand that by signing this authorization a financial record check will be performed. I authorize any financial institution to surrender to the Yankton Sioux Tribe Gaming Commission a complete and accurate record of such transactions which may have occurred with the institution, to include but not limited to, internal banking memoranda, past and present loan applications, financial statements and any other documents relating to the applicants personal or business financial records in whatever form and wherever located.

The Yankton Sioux Tribe Gaming Commission will not be held liable for inaccurate information.

FULL LEGAL NAME (please print)

Last

First

Middle

Signature of Applicant

Date

AUTHORIZATION AND RELEASE

I, _____, hereby authorize the Yankton Sioux Tribal Police and/or the Bureau of Indian Affairs and the Yankton Sioux Tribal Court to release to the Yankton Sioux Tribe Gaming Commission any information concerning me contained in the criminal history files of their divisions.

I hereby authorize the Charles Mix County Sheriff's Office and the Charles Mix County Clerk of Courts to release any information concerning me contained in the criminal history files of their divisions.

I understand that the criminal history files contain records of arrests, which may have resulted in a disposition other than a finding of guilty (i.e., dismissed charges, or charges that resulted in a not guilty finding.)

I further understand that the information may contain listings of charges that resulted in a suspended imposition sentence and was discharged. I acknowledge that this type of information May be released, even though this information is designated as "Non-Public".

In consideration for the above named entities releasing any information concerning me contained within its criminal history record files to the Yankton Sioux Tribe Gaming Commission

I, _____, on behalf of myself, my spouse, legal representatives, heirs, and assigns, hereby release, waive, discharge and agree to hold harmless the Yankton Sioux Tribal Court, the Bureau of Indian Affairs and Tribal Police Departments, the Charles Mix County Sheriff's Department and the Charles Mix County Courts, its officers and employees, from all liability for any claim or damages resulting from the release of information.

Dated this _____ day of _____, 20_____

Signature of applicant

Witness

Witness

PRIVACY NOTICE

In compliance with the Privacy Act of 1974, the following information is provided: Solicitation of the information on this form is authorized by 25 U.S.C. 2701 *et seq.* The purpose of the requested information is to determine the eligibility of individuals to be granted a gaming license. The information will be used by the Tribal gaming regulatory authorities and by the National Indian Gaming Commission (NIGC) members and staff who have need for the information in the performance of their official duties. The information may be disclosed by the Tribe or the NIGC to appropriate Federal, Tribal, State, local or foreign law enforcement and regulatory agencies when relevant to civil, criminal or regulatory investigations or prosecutions or when pursuant to a requirement by a tribe or the NIGC in connection with the issuance, denial, or revocation of a gaming license, or investigations of activities while associated with a tribe or a gaming operation. Failure to consent to the disclosures indicated in this notice will result in a tribe's being unable to license you for a primary management official or key employee position.

The disclosure of your Social Security Number (SSN) is voluntary. However, failure to supply a SSN may result in errors in processing your application.

A false statement on any part of your license application may be grounds for denying a license or the suspension or revocation of a license. Also, you may be punished by fine or imprisonment (U.S. Code, title 18, section 1001).

Signature of applicant

Date